$\begin{array}{lll} \text{Basic concepts} & \text{Solving Recurrences} & \text{Mod: The binary Op} \\ \text{00000000} & \text{0000000} & \text{00000000} \end{array}$

Chapter 3. Integer Functions Discussion on CMath: A Foundation for CS

AUGPath

China Univ. of Geosciences

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Section 1

Basic concepts

*−*5 *−*4

Figure: Floor and ceiling (D) (B) (E) (E) E 090

- Inequality: $x 1 < |x| \le x \le \lceil x \rceil < x + 1$.
- *•* Negation: *⌈−x⌉* = *−⌊x⌋*, *⌊−x⌋* = *−⌈x⌉*.
- *•* **Convert**:

- $\lceil x \rceil = n \iff$ (with respect to *n*);
- Moving integers: For integer n , $[x + n] = [x] + n$.

- Inequality: $x 1 < |x| \le x \le \lceil x \rceil < x + 1$.
- Negation: $[-x] = -[x]$, $[-x] = -[x]$.
- *•* **Convert**:
	- $\lfloor x \rfloor = n \iff n \leq x < n+1$ (with respect to *x*);
	- $[x] = n \iff x 1 \leq n < x$ (with respect to *n*);
	- \overline{a} \overline{a} \overline{b} = *n* \iff *n* − 1 < *x* \leq *n* (with respect to *x*);
	- $\lceil x \rceil = n \iff x \leq n < x + 1$ (with respect to *n*);
- Moving integers: For integer n , $[x + n] = [x] + n$.

Example. An identity

Example

 $\textsf{Prove that } |\sqrt{|x|}| = |\sqrt{x}|.$

Example. An identity

Example

 $\textsf{Prove that } |\sqrt{|x|}| = |\sqrt{x}|.$

- Let $m = |\sqrt{|x|}|$. What is the range of m ?
- $m \le \sqrt{|x|} < m + 1$.
- *•* Squaring to get the answer.

Example. Switching counting number

Example

Let $f(x)$ be any cont. mono. increasing func. with prop. that $f(x) =$ integer $\implies x =$ integer, prove that $[f(x)] = f([x])$, same as the ceiling.

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Example. Switching counting number

Example

Let $f(x)$ be any cont. mono. increasing func. with prop. that $f(x) =$ integer $\implies x =$ integer, prove that $|f(x)| = f(|x|)$, same as the ceiling.

- If $x = \lfloor x \rfloor$, trivial.
- Otherwise $x > \lfloor x \rfloor \implies f(x) > f(\lfloor x \rfloor)$. What about $f(\lfloor x \rfloor)$ and $|f(x)|$?
	- Assume this is true: $f(\lfloor x \rfloor) < \lfloor f(x) \rfloor$, continuous,
	- must be a number *y* s.t. $x \leq y < \lceil x \rceil$ and $f(y) = \lceil f(x) \rceil$. By the special property of *x*
	- *y* integer, no number between $x \leq y < \lceil x \rceil$, hence they are equal.

Same problem: cont. mono. decreasing, what's that?

Counting the Integer Points

Count the integer points on a number line.

- if $a, b \in \mathbb{Z}$, integer point in $[a, b]$ is $b a + 1$.
- *•* More general case
	- *•* [*α, β*]
	- *•* (*α, β*]
	- *•* [*α, β*)
	- *•* (*α, β*)

Helpful when handling summations by counting.

Count the integer points on a number line.

- if $a, b \in \mathbb{Z}$, integer point in $[a, b]$ is $b a + 1$.
- *•* More general case
	- $[\alpha, \beta]$ $[\beta] [\alpha] + 1$
	- $(\alpha, \beta]$ $[\beta] [\alpha]$
	- $[\alpha, \beta)$ $[\beta] [\alpha]$
	- (α, β) $[\beta] [\alpha] 1$

Helpful when handling summations by counting.

Example. Computing a sum

Example

$$
W = \sum_{i=1}^{1000} [\lceil \sqrt[3]{n} \rceil |n]
$$

Basic concepts Mod: The binary Operation Solving Recurrences Mod: The binary Operation Mod: The binary Operation of the bin

Example

$$
W = \sum_{i=1}^{1000} [\lceil \sqrt[3]{n} \rceil |n]
$$

- Make a new one name for $k = \sqrt[3]{n}$, getting *k* | $n, 1 \le n \le 1000$.
- The range for *k* is $k \leq \sqrt[3]{n} < k+1$
- $k|n$ means that there is a m so that $n = km$.
- then becomes $1 + \sum_{k,m} [k^3 \le km \le (k+1)^3] [1 \le k < 10]$.

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Example cont'd. Computing a sum

Example

$$
W = \sum_{i=1}^{K} [\lceil \sqrt[3]{n} \rceil |n], K \in \mathbb{Z}.
$$

Example cont'd. Computing a sum

Example

$$
W = \sum_{i=1}^{K} [\lceil \sqrt[3]{n} \rceil |n], K \in \mathbb{Z}.
$$

- We should care about $\sum_m [k^3 \le Km \le N]$.
- this part become $\sum_{m} [m \in [k^2..N/K]].$
- the estimation will be $3/2N^{2/3} + O(N^{1/3})$.

Example. The Spectra Example

Example

The spectrum of a real number α to be an infinite multiset of integers. That is

$$
\textsf{Spec}(\alpha) = \{ \lceil \alpha \rceil, \lceil 2\alpha \rceil, \cdots \}
$$

We can prove that (1) no two spectrum are equal; (2) vve can prove that (1) no two
Spec(2) ∪ Spec(2 + $\sqrt{2}$) = Z.

Example. The Spectra Example

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Spec(2) ∪ Spec(2 + $\sqrt{2}$) = Z.

- We define $N(\alpha, n) = \sum_{k>0} [\lfloor k\alpha \rfloor \le n]$.
- which is $\lceil (n+1)/\alpha \rceil 1$.
- proving $\left[n + 1/\sqrt{2} \right] 1 + \left[n + 1/2 + \sqrt{2} \right] 1 = n$.

This equality will be helpful:

 $a \leq b \implies a < b - 1$ for floors and ceiling func.

Section 2

Solving Recurrences

The first example: Knuth Number(KN)

We have the following example:

$$
K_0 = 1;
$$

\n
$$
K_{n+1} = 1 + \min(2K_{\lfloor n/2 \rfloor}, 3K_{\lfloor n/3 \rfloor}).
$$

Prove or disproof that for $n \geq 0, K_n \geq n$.

- *•* List small vals for *k*.
- *•* Proof by induction.
- Base case: $K = 0$ satisfy the condition.
- *•* Induction

KN: Induction Step

$$
K_0 = 1;
$$

$$
K_{n+1} = 1 + \min(2K_{\lfloor n/2 \rfloor}, 3K_{\lfloor n/3 \rfloor}).
$$

- *•* Assume the inequality hold for all vals up to some non negative vals *n*,
- Goal: show that $K_{n+1} \geq n+1$.
- Given $K_{n+1} = 1 + \min(2K_{\lfloor n/2 \rfloor}, 3K_{\lfloor n/3 \rfloor})$, and $2K_{\lfloor n/2 \rfloor} \geq 2\lfloor n/2 \rfloor$, $3K_{\lfloor n/3 \rfloor} \geq 3\lfloor n/3 \rfloor$ (by hypothesis)
- *•* But 2 *⌊n*/2*⌋* can be as small as *n −* 1, 3 *⌊n*/3*⌋* can be as small as *n −* 2, breaking the induction.
- *•* Or really? This case jumps fast.

Basic concepts **Solving Recurrences** Solving Section Concepts Mod: The binary Operation Concepts and Concepts of the binary Operation Concepts and Concepts of the binary Operation Concepts and Concepts of the binary Operat

We can prove by contradiction:

- *•* Assume we can find a value *m* s.t. *K^m ≤ m*
- finding *m*'s origin, say $m = n' + 1$
- requires $K_{\lfloor n'/2 \rfloor} \leq \lfloor n'/2 \rfloor$, and $K_{\lfloor n'/3 \rfloor} \leq \lfloor n'/3 \rfloor$.
- This implies $K_0 \leq 0$, but $K_0 = 1$, contradiction.

About Math. Induction

In trying to devise a proof by mathematical induction, you may fail for two opposite reasons. You may fail because you try to prove too much: Your P(*n*) *is too heavy a burden. Yet you may also fail because you try to prove too little: Your P*(*n*) *is too weak a support. In general, you have to balance the statement of your theorem so that the support is just enough for the burden."*

Figure: G. Polya

Jospher's Problem Generlized(JPG)

Idea: Whenever a person is passed over, give it a new number. Demonstrate:

Jospher's Problem Generlized(JPG)

Idea: Whenever a person is passed over, give it a new number. Demonstrate:

 $\mathbb{R}^{\mathbb{R}} \rightarrow \mathbb{R}^{\mathbb{R}} \rightarrow \mathbb{R}^{\mathbb{R}} \rightarrow \mathbb{R}^{\mathbb{R}} \rightarrow \mathbb{R}^{\mathbb{R}} \rightarrow \mathbb{R}^{\mathbb{R}}$ \Box \rightarrow σ \rightarrow

- *•* The *k*-th person eliminated ends up with number 3*k*.
- To find the survivor = figure out the original number $3N$.

- *•* Assign the numbers from largest to smallest
- yielding $\lceil 3/2D \rceil$.

Generalized: $D = \lceil q/(q-1)D \rceil$ for general *q*s, i.e. *q*-kill one.

Section 3

Mod: The binary Op

Mod: definition

We may rewrite the quotient and remainder as follows: If *n* is an integer, then

$$
n = m \left\lfloor \frac{n}{m} \right\rfloor + n \mod m.
$$

for $y \neq 0$.

- *•* generalize it to negative integers
- *•* 5 mod 3 = 5 *−* 3 *⌊*5/3*⌋* = 2*.*
- *•* 5 mod *−*3 = 5 *−* (*−*3) *⌊*5/ *−* 3*⌋* = *−*1*.*
- *• −*5 mod 3 = *−*5 *−* (*−*3) *⌊−*5/3*⌋* = 1*.*
- *• −*5 mod *−*3 = *−*5 *−* (*−*3) *⌊−*5/ *−* 3*⌋* = *−*2*.*

Mod: definition

We may rewrite the quotient and remainder as follows: If *n* is an integer, then

$$
n = m \lfloor n/m \rfloor + n \bmod m.
$$

for $y \neq 0$.

- *•* Observation: In any case the result number is exactly in between 2 numbers.
- Special definition: if $y = 0$, then $x \mod 0 = x$.
- *•* preserves the property that *x* and *y* always differs from *x* by a multiple of *y*.

Another notation: Mumble

We have *n* mod $m = n - \lfloor n/m \rfloor m$ Alternative definition: mumble.

$$
x \text{ number } y = y \left\lceil \frac{x}{y} \right\rceil - x
$$

Properties

Basic concepts Solving Recurrences Mod: The binary Op

• Distributive: $c(x \mod y) = (cx) \mod (cy)$ for $c, x, y \in \mathbb{R}$.

• reason: *c*(*x* mod *y*) = *c*(*x − y ⌈x*/*y⌉*) = *cx − cy*(*⌊cx*/*cy⌋*) = (*cx*) mod (*cy*).

Example: Even partition problem(EPP)

Problem: Partition *n* things into *m* groups as equally as possible. An example:

• the final row has only 5 elems, can we do better?

Example: Even partition problem(EPP)

Problem: Partition *n* things into *m* groups as equally as possible. A evener example: An example:

Example: Even partition problem(EPP)

Problem: Partition *n* things into *m* groups as equally as possible.

- *•* Division: a row by row arrange not always good.
- *•* it tells us how many lines to put
	- Some of the short one put $\lceil n/m \rceil$ columns, others put $\lceil n/m \rceil$ cols.
	- *•* There will be exactly *n* mod *m* cols, and exactly $m - n$ mod $m = n$ mumble *m*short ones.

Basic concepts and the binary Operation Solving Recurrences and the state of the binary Operation of

Example: Even partition problem(EPP)

Problem: Partition *n* things into *m* groups as equally as possible. Procedure:

- *•* To distribute *n* things into *m* groups as even as possible,
- when $m > 0$, put $\lceil n/m \rceil$ things into one group
- *•* then use this procedure to recursively
- i.e. put put the remaining $n' = n \lceil n m \rceil$ things into $m' = m - 1$ groups.

Proof:

- Suppose that $n = qm + r$
- If $r = 0$, We put $|n/m| = q$ things into the first, $n' = n - q, m' = m - 1.$
- *•* If *r >* 0, put *⌊n*/*m⌋* = *q* + 1 into first group, leaving $n' = n - q - 1 = qm' + r - 1.$

Basic concepts and the binary Operation Solving Recurrences and the state of the binary Operation of

Example: Even partition problem(EPP)

Problem: Partition *n* things into *m* groups as equally as possible. A closed form for the formula?

- *•* Effect: the quotient stays the same, but the remainder decrease by 1.
- That is there are $\lceil n/m \rceil$ things when $k \leq n \mod m$, and $\lfloor n/m \rfloor$ things o.w.
- *•* So the closed form is *⌈n − k* + 1/*m⌉*.

Since we are arrange *n* elems, we have the following identity:

$$
n = \left\lfloor \frac{n}{m} \right\rfloor + \left\lfloor \frac{n+1}{m} \right\rfloor + \dots + \left\lfloor \frac{n + (m-1)}{m} \right\rfloor
$$

Replace *n* by *mx* we get

$$
mx = \lfloor x \rfloor + \left\lfloor x + \frac{1}{m} \right\rfloor + \dots + \left\lfloor x + \frac{m-1}{m} \right\rfloor
$$

Find

$$
\sum_{0\leq k\leq n}\Big\lfloor\sqrt{k}\Big\rfloor
$$

where *a* is a perfect square. Solution:

$$
\sum_{0 \le k \le n} \lceil \sqrt{k} \rceil
$$
\n
$$
= \sum_{k,m \ge 0} m[k < n][m = \lceil k \rceil]
$$
\n
$$
= \sum_{k,m \ge 0} m[k < n][m \le \sqrt{k} < m + 1]
$$

Then we calculate the total number of this.

Find

$$
\sum_{0\leq k\leq n}\left\lfloor\sqrt{k}\right\rfloor
$$

where *a* is a perfect square. Solution:

$$
= \sum_{k,m\geq 0} m[k < n][m \leq \sqrt{k} < m+1]
$$
\n
$$
= \sum_{k,m\geq 0} m[m \leq k \leq (m+1)^2 \leq a^2]
$$
\n
$$
= \sum_{m\geq 0} m((m+1)^2 - m^2)[m+1 \leq a]
$$
\n
$$
= \sum_{m\geq 0, m\leq a} m(2m+1)
$$

Find

$$
\sum_{0\leq k\leq n}\left\lfloor\sqrt{k}\right\rfloor
$$

where *a* is a perfect square. Solution: That is

$$
\sum_0^a (2m^2+3m^1)\delta m
$$

Using the integration rule, we get $2/3a(a-1)(a-2) + 3/2a(a-1).$

Basic concepts Solving Recurrences
 $\begin{array}{ccc}\n\text{Solving Recurrences} \\
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Example: A Weird Sum(WS)

Find

$$
\sum_{0\leq k\leq n}\Big\lfloor\sqrt{k}\Big\rfloor
$$

where *a* is a perfect square.

Solution:

Removing the perfect square condition

- do the partition from $[0..a^2]$ and $[a^2..n]$.
- *•* this will use O notation to express its increament.

Basic concepts and the binary Operation Solving Recurrences and the state of the binary Operation of

Example: an Integrated Example(IE)

Find the closed form for

$$
\sum_{0 \le k < m} \left\lfloor \frac{nk+x}{m} \right\rfloor
$$

for integer *m >* 0, integer *n*. We first look at some observations

- $n = 1$, yields $\sum_{0 \le k < m} \lfloor (k + x)/m \rfloor$, where we found at the EPP problem.
- $m = 1$, this will be $|x|$;
- $m = 2$, we look at $\lfloor x/2 \rfloor + \lfloor (x+n)/2 \rfloor$.
	- *n* even, $n/2$ integer. $|x/2| + |(x+n)/2| = 2|x/2| + n/2$.
	- *• n* odd, (*n −* 1)/2 integer. $\lfloor x/2 \rfloor + (\lfloor (x+1)/2 \rfloor + (n-1)/2) = \lfloor x \rfloor + (n-1)/2.$

Example: an Integrated Example(IE)

Find the closed form for

$$
\sum_{0 \le k < m} \left\lfloor \frac{nk+x}{m} \right\rfloor
$$

for integer *m >* 0, integer *n*. Have a look at $m = 3$:

- *n* mod $3 = 0, n/3$ and $2n/3$ integers: *x* $\left\lfloor \frac{x}{3} \right\rfloor + \left\lfloor \frac{x}{3} + \frac{n}{3} \right\rfloor$ $\left\lfloor \frac{n}{3} \right\rfloor + \left\lfloor \frac{x}{3} + \frac{2n}{3} \right\rfloor$ $\left[\frac{2n}{3}\right] = 3\left\lfloor x/3\right\rfloor + n.$
- *• n* mod 3 = 1*, n −* 1/3 and 2*n −* 2/3 integers: *x* $\left[\frac{x+1}{3} + \frac{n-1}{3}\right] + \left[\frac{x+2}{3} + \frac{2n-2}{3}\right] = \lfloor x \rfloor + n - 1.$
- *• n* mod 3 = 2*, n −* 2/3 and 2*n −* 4/3 integers: *x* $\left[\frac{x+2}{3} + \frac{n-2}{3}\right] + \left[\frac{x+4}{3} + \frac{2n-4}{3}\right] = \lfloor x \rfloor + n - 1.$

Example: an Integrated Example(IE)

Find the closed form for

$$
\sum_{0\leq k
$$

for integer *m >* 0, integer *n*.

Look at $n = 4$,

- *n* mod $4 = 0, 4 \lfloor x/4 \rfloor + 3n/2;$
- *n* mod $4 = 1$, $\lfloor x \rfloor + 3n/2 3/2$;
- *• n* mod 4 = 0*, ⌊x⌋* + 3*n*/2 *−* 3/2;
- *• n* mod 4 = 0*,* 2 *⌊x⌋* + 3*n*/2 *−* 1;

Example: an Integrated Example(IE)

Find the closed form for

$$
\sum_{0\leq k
$$

for integer *m >* 0, integer *n*. We make a small table for this:

m	n mod m = 0	n mod m = 1	n mod m = 2	n mod m = = 3
1	$\lfloor x \rfloor$			
2	$2 \lfloor \frac{x}{2} \rfloor + \frac{n}{2}$	$\lfloor x \rfloor + \frac{n}{2} - \frac{1}{2}$		
3	$3 \lfloor \frac{x}{3} \rfloor + n$	$\lfloor x \rfloor + n - 1$	$\lfloor x \rfloor + n - 1$	
4	$4 \lfloor \frac{x}{4} \rfloor + \frac{3n}{2}$	$\lfloor x \rfloor + \frac{3n}{2} - \frac{3}{2}$	$2 \lfloor \frac{x}{2} \rfloor + \frac{3n}{2} - 1$	$\lfloor x \rfloor + \frac{3n}{2} - \frac{3}{2}$

It looks that:

$$
\left\lfloor \frac{x + kn \bmod m}{m} + \frac{kn}{m} - \frac{kn \bmod m}{m} \right\rfloor
$$

Example: an Integrated Example(IE)

Find the closed form for

$$
\sum_{0\leq k
$$

for integer *m >* 0, integer *n*. This can be extracted from

$$
\left\lfloor \frac{x + kn \bmod m}{m}\right\rfloor + \frac{kn}{m} - \frac{kn \bmod m}{m}
$$

Example: an Integrated Example(IE)

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Find the closed form for

$$
\sum_{0 \le k < m} \left\lfloor \frac{nk+x}{m} \right\rfloor
$$

for integer *m >* 0, integer *n*.

$$
\left\lfloor \frac{x}{m} \right\rfloor + \left\lfloor \frac{x + n \mod m}{m} \right\rfloor + \left\lfloor \frac{x + 2n \mod m}{m} \right\rfloor + \left\lfloor \frac{x + 2n \mod m}{m} \right\rfloor + \left\lfloor \frac{x + 2n \mod m}{m} \right\rfloor + \left\lfloor \frac{x + (m-1)n \mod m}{m} \right\rfloor + \left\lfloor \frac{x + (m-1)n \mod m}{m} \right\rfloor + \left\lfloor \frac{m-1}{m} \right\
$$

Example: an Integrated Example(IE)

Find the closed form for

$$
\sum_{0\leq k
$$

for integer *m >* 0, integer *n*. Looking at the table:

- The second column is $\frac{1}{2}$ $\left(0 + \frac{(m-1)n}{m}\right)$ *m*
- *•* The first column: See what 0 mod m , *n* mod $m, 2n$ mod $m, \cdots, (m-1)n$ mod m will get.

Example: an Integrated Example(IE)

Find the closed form for

$$
\sum_{0 \le k < m} \left\lfloor \frac{nk+x}{m} \right\rfloor
$$

for integer *m >* 0, integer *n*. Look at the first row of that one, recall

$$
n = \left\lfloor \frac{n}{m} \right\rfloor + \left\lfloor \frac{n+1}{m} \right\rfloor + \dots + \left\lfloor \frac{n + (m-1)}{m} \right\rfloor
$$

• We will encounter the remainder from 1 to *n* one time(we will show at Chapt. 4)

Example: an Integrated Example(IE)

Find the closed form for

$$
\sum_{0 \le k < m} \left\lfloor \frac{nk+x}{m} \right\rfloor
$$

for integer *m >* 0, integer *n*. So we have:

$$
d\left(\left\lfloor\frac{x}{m}\right\rfloor + \left\lfloor\frac{x+d}{m}\right\rfloor + \dots + \left\lfloor\frac{x+m-d}{m}\right\rfloor\right)
$$

= $d\left(\left\lfloor\frac{x/d}{m/d}\right\rfloor + \left\lfloor\frac{x/d+1}{m/d}\right\rfloor + \dots + \left\lfloor\frac{x/d+m/d-1}{m/d}\right\rfloor\right)$
= $d\left\lfloor\frac{x}{d}\right\rfloor$., and hence, $a = d = \gcd(m, n)$.

Example: an Integrated Example(IE)

Find the closed form for

$$
\sum_{0 \le k < m} \left\lfloor \frac{nk+x}{m} \right\rfloor
$$

for integer *m >* 0, integer *n*. The third column: $d\left(\frac{1}{2}\right)$ $\frac{1}{2}\left(0+\frac{m-d}{m}\right)\cdot\frac{m}{d}$ $\frac{m}{d}$) = $\frac{m-d}{2}$

$$
\bullet \ \ c=\tfrac{d-m}{2}.
$$

Example: an Integrated Example(IE)

Find the closed form for

$$
\sum_{0\leq k
$$

for integer *m >* 0, integer *n*. Putting altogether:

$$
\sum_{0 \leqslant k < m} \left\lfloor \frac{nk+x}{m} \right\rfloor = d \left\lfloor \frac{x}{d} \right\rfloor + \frac{m-1}{2}n + \frac{d-m}{2}.
$$

where $d = \gcd(m, n)$.

Example: an Integrated Example(IE)

Find the closed form for

$$
\sum_{0\le k
$$

for integer *m >* 0, integer *n*. In fact, *m* and *n* are symmetric:

$$
\sum_{0 \le k < m} \left\lfloor \frac{nk+x}{m} \right\rfloor = d \left\lfloor \frac{x}{d} \right\rfloor + \frac{m-1}{2}n + \frac{d-m}{2}
$$
\n
$$
= d \left\lfloor \frac{x}{d} \right\rfloor + \frac{(m-1)(n-1)}{2} + \frac{m-1}{2} + \frac{d-m}{2}
$$
\n
$$
= d \left\lfloor \frac{x}{d} \right\rfloor + \frac{(m-1)(n-1)}{2} + \frac{d-1}{2}
$$

saying,

$$
\sum_{0\leqslant k0.
$$

Thanks